Common Findings from The Joint Commission

Wm. (Bill) Morgan, CHFM, FASHE
MSL Dedicated Healthcare Partners
bmorgan@mslhealthcare.com
WHAT WE WILL LOOK AT!

• Current top findings from surveys
• New focus areas:
  • Preventive Maintenance Strategy, both clinical and utility systems
  • Managing Air Pressure, Temps, and Humidity in Healthcare settings
  • Power Cords and other waiver use
  • Survey “Process” management
The Top Ten

• #1 EC.02.05.01  53% of hospitals are being found non compliant. This Standard is being scored from finding pressure, temperature, humidity issues in hospital care areas.

• #2 LS.02.01.20  52% of hospitals are being found non compliant. This standard is being scored for clutter mostly.
The Top 10

• #3 EC.02.06.01 51% of hospitals are being found non compliant. This finding is usually general hospital safety issues, O2 bottles unsecure, trip hazards, general safety.

• #4 EC.02.03.05 50% of hospitals are being found non compliant. This finding is from missing or incomplete documentation of fire system testing.
The Top 10

• #5 IC.02.02.01. 50% of hospitals are being found non compliant. This finding usually comes from improper storage of clean material, damaged walls, floors, ceilings in OR’s and endoscopy scope cleaning.

• #6 LS.02.02.10. 49% of hospitals are being found non compliant. This finding is usually centered around fire doors and penetrations.
The Top 10

• #7 RC.01.01.01. 49% of hospitals are being found non compliant. These are focused on record of care issues.

• #8 LS.02.01.30. 46% of hospitals are being found non compliant. These findings are focused around smoke walls and doors.

• #9 LS.02.01.35. 44% of hospitals are being found non compliant. These findings are from sprinkler system issues.
The Top 10

• #10 EC.02.02.01 36% of hospitals are being found non compliant. These findings are based on eye wash stations, lead apron use and storage, and other uses of Personal Protective Equipment.
Current focus areas

• Managing the preventive maintenance systems in healthcare facilities, both the clinical equipment side and the utility side. New definitions of what is in an inventory for PM, what level of maintenance is done, and how it is documented.
Utility Systems & Components

• Standard 02.05.01. EP2 Must maintain a written inventory of all operating components of utility systems or an inventory of selected components of utility systems based on risk.

• EP 3. Must identify high-risk operating components of utility systems on the inventory for a risk of serious harm or death.
Inventories

• EP 4 Must identify frequency in writing for inspecting, testing, and maintaining all operating components of utility systems on the inventory.

• EP 5 Certain items must be done in accordance with manufacturer’s recommendations.
Inventories

• EP 6 A qualified individual(s) uses written criteria to support the determination for any alternate maintenance system. Include in assessment: How it is used, Consequences of failure, Availability of back up systems, Incident history, Maintenance requirements of the equipment.
What’s it all mean?

• Need to review inventories
• Need to approach in a manner similar to equipment in the clinical engineering process.
• Risk assess equipment
• Don’t lump patient safety pm inventories in with life safety.
• Use caution if reduction from 100% completion is allowed.
PM “Gotcha’s”

- No risk assessment for equipment.
- Equipment with no PM required must still be inventoried. (Toaster Story)
- Less than 100% completion on other than life safety PM’s
- Getting it all sorted out in your CMMS and operating policy/procedures.
Managing Pressure, Temp., and Humidity in your Hospital

• Do you have a written plan?
• What is included?
• How do you monitor?
• How do you respond to failures?
Finding the weakness with surveys

- Tracer methodology is often used if anything seems out of the ordinary.
- Endoscopy units do both Bronchoscopy and Endoscopy procedures in the same unit.
- OR’s, Sterile supply, Dirty side to clean side relationship, Where are sterile supplies stored? Dirty and Clean utility rooms are even being targeted.
Electronic Statement of Conditions

• Do your buildings have the building type added?

• Do you have all the blocks checked in the BBI, some call for yes or no, one must be checked.

• Are all your buildings in the ESOC shown with BBI?

• All PFI’s have ILSM? All in time frames?
Survey Management

• Are all the documents needed for review current and correct? Signed, up to date, show one year, but have others available.

• Management plans current, annual review done and included.

• Minutes from meetings good, follow up complete on identified issues.

• Any State, City inspections show corrections followed up on?